SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Morgan Bradley Baul	2. Date of Event Requiring Statement (Month/Day/Year) 11/16/2015		3. Issuer Name and Ticker or Trading Symbol <u>CYTOKINETICS INC</u> [ CYTK ]				
			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) 5. If Amendmen (Month/Day/Ye Director 10% Owner				Date of Original Filed
280 EAST GRAND AVENUE			V Officer (give title	Other (spe	6. lr	idividual or Joir licable Line)	nt/Group Filing (Check
(Street) SOUTH SAN FRANCISCO CA 94080			A below) SVP Drug Discovery &	below) 2 Early Dev	, X	Person	y One Reporting y More than One Person
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4) or Indire (Instr. 5)		t (D) (Instr. 5)		
Common Stock			13,391	D			
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exerc				,		6. Nature of Indirect
	Expiration Date (Month/Day/Year)		Underlying Derivative Securi	ty (Instr. 4)	Conversion or	Form:	Beneficial Ownership (Instr. 5)
				Amount	Exercise Price of	Direct (D) or Indirect	
	Date Exercisable	Expiration Date	n Title	or Number of Shares	Derivative Security	(I) (Instr. 5)	
Incentive Stock Option (right to buy)	04/05/2013 <sup>(1)</sup>	03/05/2023	Common Stock	21,204	6	D	
Incentive Stock Option (right to buy)	04/05/2012 <sup>(2)</sup>	03/05/2022	2 Common Stock	13,920	6.3	D	
Incentive Stock Option (right to buy)	03/26/2015 <sup>(3)</sup>	02/26/2025	Common Stock	11,044	7.96	D	
Incentive Stock Option (right to buy)	03/28/2011 <sup>(4)</sup>	02/28/2021	Common Stock	11,883	9.42	D	
Incentive Stock Option (right to buy)	03/24/2014 <sup>(5)</sup>	02/24/2024	Common Stock	18,448	9.65	D	
Incentive Stock Option (right to buy)	03/26/2009 <sup>(6)</sup>	02/26/2019	Common Stock	5,277	11.1	D	
Incentive Stock Option (right to buy)	03/24/2010 <sup>(7)</sup>	02/24/2020	Common Stock	7,611	18.48	D	
Incentive Stock Option (right to buy)	03/29/2008 <sup>(8)</sup>	02/28/2018	Common Stock	2,101	20.22	D	
Incentive Stock Option (right to buy)	04/14/2007 <sup>(9)</sup>	03/14/2017	Common Stock	3,106	40.86	D	
Incentive Stock Option (right to buy)	04/01/2006 <sup>(10)</sup>	03/15/2016	Common Stock	5,000	42.24	D	
Non-Qualified Stock Option (right to buy)	04/05/2013 <sup>(1)</sup>	03/05/2023	Common Stock	3,795	6	D	
Non-Qualified Stock Option (right to buy)	04/05/2012 <sup>(2)</sup>	03/05/2022	2 Common Stock	1,079	6.3	D	
Non-Qualified Stock Option (right to buy)	03/26/2015 <sup>(3)</sup>	02/26/2025	Gommon Stock	18,956	7.96	D	
Non-Qualified Stock Option (right to buy)	03/28/2011 <sup>(4)</sup>	02/28/2021	Common Stock	617	9.42	D	
Non-Qualified Stock Option (right to buy)	03/24/2014 <sup>(5)</sup>	02/24/2024	Common Stock	11,552	9.65	D	
Non-Qualified Stock Option (right to buy)	03/26/2009 <sup>(6)</sup>	02/26/2019	Common Stock	1,389	11.1	D	
Non-Qualified Stock Option (right to buy)	03/24/2010 <sup>(7)</sup>	02/24/2020	Common Stock	721	18.48	D	
Non-Qualified Stock Option (right to buy)	03/29/2008 <sup>(8)</sup>	02/28/2018	Common Stock	1,033	20.22	D	
Non-Qualified Stock Option (right to buy)	04/14/2007 <sup>(9)</sup>	03/14/2017	Common Stock	193	40.86	D	

## Explanation of Responses:

1. When the ISO and NQ dated 03/05/2013 are combined for a total grant of 24,999 shares, the option shall vest and become exercisable as to 520 shares on 04/05/2013 and the balance of 24,479 divided into equal monthly installments thereafter such that the option shall be 100% vested on 03/05/2017.

2. When the ISO and NQ dated 03/05/2012 are combined for a total grant of 14,999 shares, the option shall vest and become exercisable as to 312 shares on 04/05/2012 and the balance of 14,687 divided into equal monthly installments thereafter such that the option shall be 100% vested on 03/05/2016.

3. When the ISO and NQ dated 02/26/2015 are combined for a total grant of 30,000 shares, the option shall vest and become exercisable as to 625 shares on 03/26/2015 and the balance of 29,375 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/26/2019.

4. When the ISO and NQ dated 02/28/2011 are combined for a total grant of 12,500 shares, the option shall vest and become exercisable as to 260 shares on 03/31/2011 and the balance of 12,240 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/28/2015.

5. When the ISO and NQ dated 02/24/2014 are combined for a total grant of 30,000 shares, the option shall vest and become exercisable as to 625 shares on 03/24/2014 and the balance of 29,375 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/24/2018.

6. When the ISO and NQ's dated 02/26/2009 are combined for a total grant of 6,666 shares, the option shall vest and become exercisable as to 138 shares on 03/26/09 and the balance of 6,528 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/26/13.

7. When the ISO and NQ dated 02/24/2010 are combined for a total grant of 4,999 shares, the option shall vest and become exercisable as to 104 shares on 03/24/2010 and the balance of 4,895 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/24/2014.

8. When the ISO and NQ dated 02/29/2008 are combined for a total grant of 3,134 shares, the option shall vest and become exercisable as to 65 shares on 03/29/08 and the balance of 3,069 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/29/12.

9. When the ISO and NQ dated 03/14/2007 are combined for a total grant of 3,299 shares, the option shall vest and become exercisable as to 68 shares on 04/01/07 and the balance of 3,231 divided into equal monthly installments thereafter such that the option shall be 100% vested on 03/01/11.

10. This option shall vest and become exercisable as to 104 shares on 04/01/06 and the balance of 4,896 divided into equal monthly installments thereafter such that the option shall be 100% vested on 03/01/10.

Bradley Morgan, Ph.D. <u>11/23/2015</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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